



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(p))

Attorney Docket No.

First Inventor

Edward J. Merritt, Jr.

Title

Precision Z-Axis Mount, Etc.

Express Mail Label No.

## APPLICATION ELEMENTS

## ADDRESS TO:

 Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

See MPEP chapter 800 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
 See 37 CFR 1.27.
3. ☒ Specification (Total Pages **13**)  
 (Presentation arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets **4**)
5. Oath or Declaration (Total Pages **12**)
- a. ☒ Newly executed (original or copy)  
 Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR 1.63(a)(2) and 1.33(b).
- c. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large scale or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.79(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/55 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group An Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or

☐ Correspondence address label

(Insert Customer No. or Attach Bar Code Label Here)

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Signature

P.K. Fitzsimmons

Date

7/25/03

Guidance Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

07/30/03

77224 U.S. PRO

 17575 U.S. PRO  
 10/628945

07/30/03

Date

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of

Edward J. Merritt, Jr. and Boris Gelmanfor Precision Z-Axis Mount For A Lens Assembly In An Optical Inspection  
Apparatus

Enclosed are:

- ☒ 4 sheets of drawings, plus 2 copies each
- ☒ an assignment of the invention to Optical Gaging Products, Inc.
- ☐ a certified copy of a \_\_\_\_\_ application
- ☐ associate power of attorney
- ☒ a verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27
- ☒ the filing fee has been calculated as shown below:

FOR	(Col. 1)	(Col. 2)	SMALL ENTITY		OTHER THAN SMALL ENTITY	
	No. Filed	No. Extra	RATE	FEE	RATE	FEE
BASIC FEE				\$ 375.00		\$750.00
TOTAL CLAIMS	16 - 20	0	x \$9	0.00	x \$18	
INDEPENDENT CLAIMS	2 - 3	0	x \$42	0.00	x \$84	
MULTIPLE DEPENDENT CLAIM PRESENT			x \$140		x \$280	
ASSIGNMENT FEE				\$ 40.00		
			TOTAL	\$ 415.00	TOTAL	\$

- ☐ Please charge my Deposit Account No. 19-2100 in the amount of \$ \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment to Deposit Account No. 19-2100. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 415.00 to cover the filing fee is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

I (We) claim priority under the International Convention of my (our)  
\_\_\_\_\_ application No. \_\_\_\_\_ filed \_\_\_\_\_.

RKJ  
Attorney of Record

Of: Shlesinger, Fitzsimmons & Shlesinger  
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